

Molecular Evidence for Deep Precambrian Divergences Among Metazoan Phyla

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A literal reading of the fossil record suggests that the animal phyla diverged in an "explosion" near the beginning of the Cambrian period. Calibrated rates of molecular sequence divergence were used to test this hypothesis. Seven independent data sets suggest that invertebrates diverged from chordates about a billion years ago, about twice as long ago as the Cambrian. Protostomes apparently diverged from chordates well before echinoderms, which suggests a prolonged radiation of animal phyla. These conclusions apply specifically to divergence times among phyla; the morphological features that characterize modern animal body plans, such as skeletons and coeloms, may have evolved later.

Darwin (1) recognized that the sudden appearance of animal fossils in the Cambrian posed a problem for his theory of natural selection. He suggested that fossils might eventually be found documenting a protracted unfolding of Precambrian metazoan evolution. Many paleontologists today interpret the absence of Precambrian animal fossils that can be assigned to extant clades not as a preservational artifact, but as evidence of a Cambrian or late Vendian origin and divergence of metazoan phyla (2–6). This would make the Cambrian the greatest evolutionary cornucopia in the history of the Earth. Definitive representatives of all readily fossilizable animal phyla (with the exception of bryozoans) have been found in Cambrian rocks, as have representatives of several soft-bodied phyla (6). Recent geochronological studies have reinforced the impression of a "big bang of animal evolution" by narrowing the temporal window of apparent divergences to just a few million years (4).

The evidence for a Cambrian explosion of animal phyla is based on the absence of fossils of triploblastic metazoans from rocks predating the Cambrian. This negative evidence is not entirely convincing. Tiny unskeletonized animals with no possibility of preservation in the fossil record may have existed before the Cambrian (7, 8). Even if larger, soft-bodied animals were present, conditions appropriate for their preservation may not have existed for much of the

half-billion years preceding the Cambrian (6, 8, 9). In particular, the famous Lagerstätten of the Cambrian (8, 10) resulted from taphonomic conditions that are exceptionally rare at other times in the rock record (9). Nevertheless, some Vendian trace fossils and body fossils suggest that animals with coeloms existed before the Cambrian (6, 8, 11, 12).

Calibrated rates of gene sequence divergence provide another avenue for dating divergence times between animal phyla (13). An early study by Runnegar, based on hemoglobin, suggested Precambrian divergences (14) but was criticized for not testing assumptions of rate constancy (15). A more recent study based on 18S ribosomal RNA (rRNA) sought evidence of rapid divergences in the inability of sequence data to resolve phylogenetic relationships (16). The burgeoning database of gene sequences provides an opportunity to examine the divergence times of metazoan phyla from large data sets based on several genes and many taxa. We present such an analysis here. Our results cast doubt on the prevailing notion that the animal phyla diverged explosively during the Cambrian or late Vendian, and instead suggest that there was an extended period of divergence during the mid-Proterozoic, commencing about a billion years ago.

Calibrating sequence divergence rates. Our approach to estimating divergence times between metazoan phyla is based on the tendency for nucleotide and amino acid sequences to diverge over time (17–19). Although rates of sequence divergence vary through time and among taxa (19, 20), in long sequences derived from many phylogenetically dispersed taxa, these heterogeneities average into a mean rate of divergence (14, 19, 21). Mean rates of sequence diver-

gence, calibrated with the use of taxa with well-established divergence times during the Phanerozoic, can then be used to estimate unknown divergence times (13, 21).

We applied this approach to seven genes: those encoding adenosine triphosphatase (ATPase) subunit 6, cytochrome c, cytochrome c oxidase subunits I and II, hemoglobin, NADH dehydrogenase subunit 1, and 18S rRNA. These genes were chosen for analysis because full-length (or nearly full-length) sequences are currently available from numerous phylogenetically dispersed metazoans. In addition, they do not belong to related gene families, some are nuclear and others mitochondrial, their final products include both RNA and proteins, they encompass a diversity of biochemical functions, and they evolve at different rates. Their evolution should therefore not be correlated, and divergence time estimates based on each gene should be independent.

We calculated mean rates of sequence divergence for each gene from a large number of taxa (Table 1) (22). Divergence times were based on a gene's first appearance in the fossil record (23, 24), and gnathostome vertebrates were used for all sequences, with the addition of mollusks and echinoderms for 18S rRNA. For the six protein-coding genes, Kimura distances (18) were not significantly different from those obtained with Dayhoff's PAM matrix (25); both measures are designed to correct for among-site variation in substitution rate and for multiple substitutions. The Kimura distance for nucleotide sequences, which we used for 18S rRNA, accounts for differential rates of transitions versus transversions. We used the relation between Kimura distance and time to estimate divergence times (13, 21) between the calibrating phyla and representatives of various other metazoan phyla.

Plots of sequence divergence versus divergence time for the seven genes are shown in Fig. 1. Each calibration plot incorporates 10^2 to 10^3 comparisons among species pairs (Table 1). For each gene, mean rates of sequence divergence were estimated as slopes with model I regression (22, 26). Explained variation (r^2) ranged from 0.60 to 0.75 (Table 1). Separate calibrations with α and β hemoglobins (Fig. 1) yielded very similar mean rates of divergence (Table 1), although many of the species used for comparison differed; this indicates a high degree of repeatability in the calibration.

Gauging the statistical significance of, and confidence limits on, rates of sequence divergence is problematic. The rate is estimated from pairwise comparisons of taxa that are related by descent, which overestimates the number of degrees of freedom. We therefore used three rather different

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approaches. (i) We determined the significance of the correlation between the divergence time and genetic distance matrices with a Mantel test, which uses sampled randomization to test the correlation between two similarity matrices (26). For the six protein-coding genes, this test indicated a correlation between genetic distance and

divergence time that is significant at the $P < 0.0001$ level, and for 18S rRNA at the $P < 0.004$ level (Table 1). This test demonstrates rigorously what is clear from visual inspection, namely, that there is a strong positive correlation between sequence divergence and time. (ii) We calculated 95% confidence limits on the slopes of the ge-

netic/time divergence plots using model I regression (26), with the degrees of freedom reduced to the number of taxa (approximately the number of nodes on a dichotomous tree). (iii) We bootstrapped amino acid sequences (200 replicates) with PHYLIP (27), computed a mean slope, and identified the range of 95% of the slopes. Both the mean bootstrap slope and the 95% range corresponded closely to those calculated from our regression approach (Table 1), which suggests that these are robust estimates of sequence divergence rates.

Estimating divergence times. For each gene, we estimated divergence times between phyla by averaging the Kimura distance between each invertebrate and all the vertebrates; we then calculated the implied divergence time from the mean sequence divergence (13, 21) (Fig. 1). Whenever possible, we estimated divergences as the mean of several distantly related species per invertebrate phylum. The invertebrate phyla for which the most sequences are available for comparison with vertebrates are echinoderms, arthropods, annelids, and mollusks; consequently, these phyla are the focus of our analysis.

All mean divergence time estimates between these four phyla and chordates, based on all seven genes, substantially predate the beginning of the Cambrian period (Table

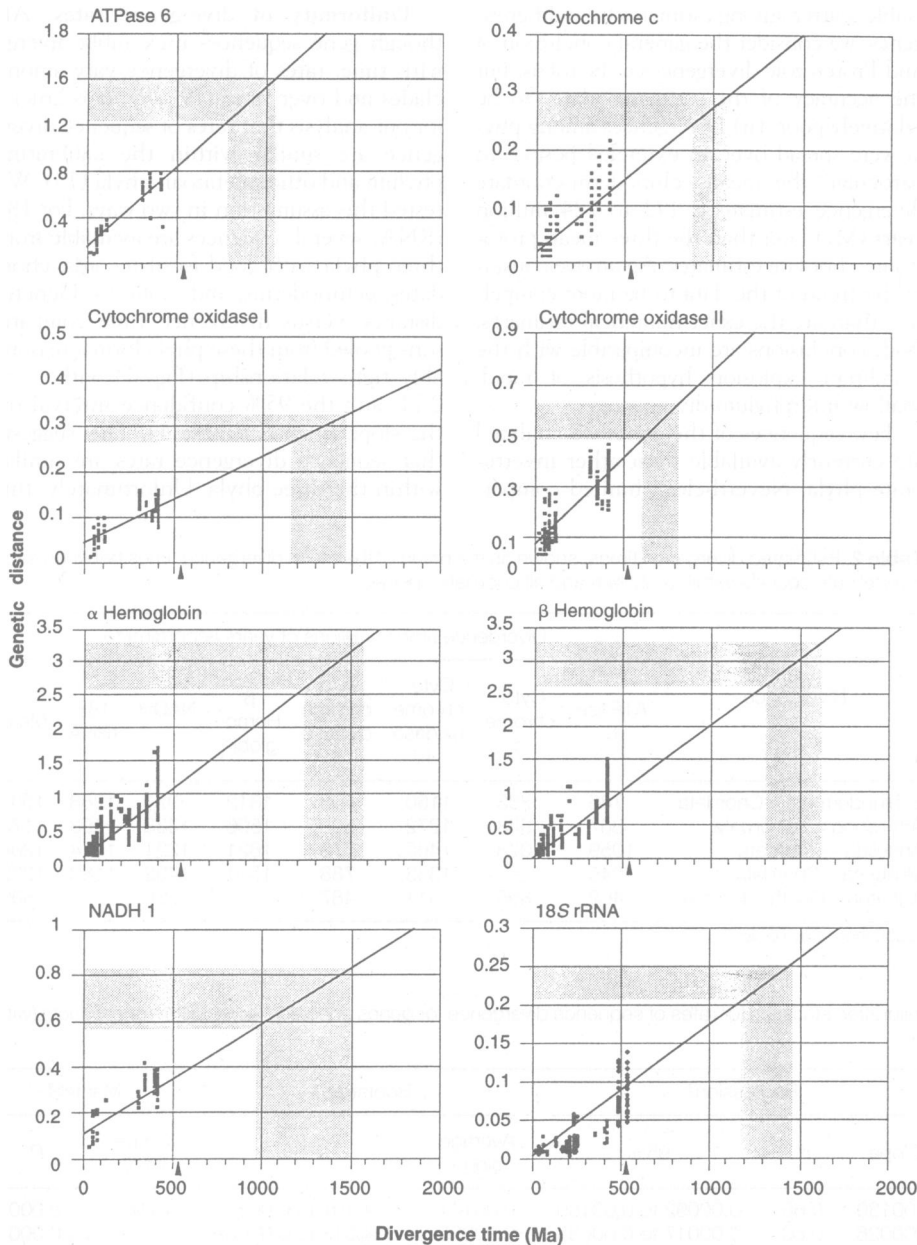


Fig. 1. Sequence divergence rates and estimated interphylum divergence times. We calibrated mean rates of sequence divergence (22) by plotting pairwise genetic distance versus divergence times from the fossil record (23, 24). The vertebrate fossil record was used to calibrate the six protein-coding genes; vertebrates, echinoderms, and mollusks were used to calibrate 18S rRNA (represented on the plot as squares, circles, and diamonds, respectively). For all seven genes, sequence divergence is strongly correlated with time (Table 1, Mantel test). Hemoglobins α and β diverged just after the origin of chordates (43), providing a test of repeatability; regression slopes for these paralogous genes are very similar (see also Table 1). Shaded regions indicate the entire range of invertebrate-vertebrate genetic distances (y axis) and the entire implied range of invertebrate-vertebrate divergence times (x axis). All estimated invertebrate-vertebrate divergence times are in the Middle to Late Proterozoic, well before the Cambrian (see also Table 2). The base of the Cambrian period (4) is marked with an arrowhead.

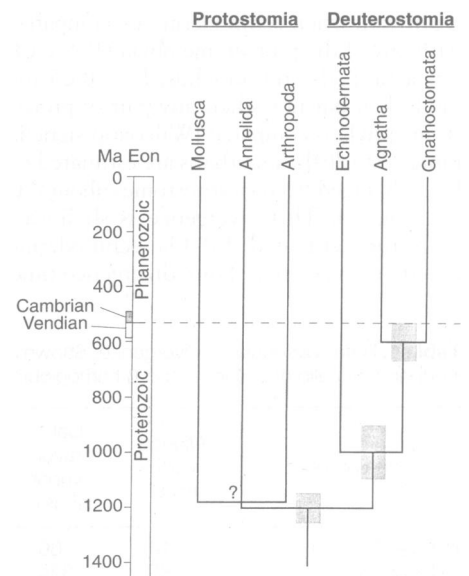


Fig. 2. Estimated divergence times for selected metazoan phyla. Mean divergence times based on the seven genes (Table 2) are shown, with standard errors indicated by shaded bars. The three estimated divergence times nest in agreement with well-corroborated phylogenetic relationships (28, 31). The chordate-echinoderm and chordate-protostome divergence times are significantly different from each other (see text). Divergence times among the three protostome phyla were not estimated in our analysis.

2). No individual comparison between the vertebrates and any echinoderm, arthropod, annelid, or mollusk species for any of the seven genes implies a divergence during or after the Cambrian. This alone is significant, in that 89 such comparisons were made. The averaged data (means or medians) imply divergence times of ~1.0 to 1.2 billion years ago (Ga) between these four phyla and the Chordata; in contrast, the base of the Cambrian era lies about 0.54 Ga (4).

The mean divergence time estimates between chordates and the three protostome phyla (arthropods, annelids, and mollusks) were all about 1.2 Ga and differed by less than 5% among phyla (Table 2, last column). These three phyla are expected to yield similar estimates, given that they belong to a distinct clade, the Protostomia (28) and should therefore share a common divergence time from chordates (Fig. 2). The mean echinoderm-chordate divergence estimate was more recent, about 1.0 Ga. Echinoderm-chordate divergence estimates were shallower than the protostome-chordate divergence for six genes and were similar for 18S rRNA (Table 2). Because echinoderms and chordates belong to the same clade, the Deuterostomia (28), the shallower divergence estimate between these phyla is again consistent with phylogenetic relationships (Fig. 2). The contrast of divergence times from chordates is statistically significant when echinoderms are compared with any of the protostome phyla ($P < 0.01$ for arthropods and annelids, $P < 0.05$ for mollusks), but not when any pair of protostome phyla is compared [Wilcoxon signed-ranks test (26)]. Agnathans are estimated to have diverged from gnathostomes about 0.6 Ga (Fig. 2). This divergence is shallower than the estimated 1.0 Ga echinoderm-chordate divergence. Thus, divergence time

estimates based on different genes and different taxa are consistent with each other and with well-corroborated phylogenetic relationships (28).

The divergence time estimates between chordates and the phyla just discussed point to two conclusions. (i) The triploblastic metazoan phyla had begun to diverge by the mid-Proterozoic, about twice as long ago as is commonly accepted. Because there is appreciable scatter among estimates from different genes, we consider the general conclusion of mid-Proterozoic divergences to be robust but the accuracy of the estimated dates to be relatively poor. (ii) Divergences among phyla were spread over an extended period. In particular, the mean echinoderm-chordate divergence estimate is 172 to 224 million years (My) later than the three mean protostome-chordate estimates. Again, we consider the trend of the data to be more compelling than are the exact numerical estimates. Both conclusions are incompatible with the Cambrian explosion hypothesis of rapid, shallow interphylum divergences.

Few sequences of the genes we analyzed are currently available from other invertebrate phyla. Nevertheless, limited compar-

isons were possible for 12 additional phyla, involving 25 additional species-gene combinations. In all cases, divergence time estimates between invertebrates and vertebrates imply mid-Proterozoic divergences. In total, 114 individual invertebrate-vertebrate divergence time estimates were made, spanning 16 invertebrate phyla, and each one indicates a deep Precambrian divergence time.

Uniformity of divergence rates. Although gene sequences inexorably diverge with time, rates of divergence vary among clades and over time (19, 20). It is crucial for our analysis that rates of sequence divergence are similar within the calibrating phylum and other metazoan phyla (15). We tested this assumption in two ways. For 18S rRNA, several sequences are available from three phyla with good fossil records: chordates, echinoderms, and mollusks. Genetic distance versus divergence time comparisons pooled from these phyla form a reasonably tight relationship (Fig. 1) with $r^2 = 0.71$, and the 95% confidence interval on the slope is small (Table 1). This suggests that sequence divergence rates are similar within the three phyla. Unfortunately, this

Table 2. Estimated divergence times, shown as the mean of the mean divergence times between each invertebrate species within a phylum and all chordate species.

Divergence	Divergence time in millions of years according to:							Mean
	ATPase 6	Cytochrome c	Cytochrome oxidase I	Cytochrome oxidase II	β Hemoglobin	NADH 1	18S rRNA	
Echinodermata—Chordata	786	883	1160	608	1312	971	1288	1001
Arthropoda—Chordata	887	953	1272	803	1506	1338	1453	1173
Annelida—Chordata	1059	1078	1465	773	1621	1221	1214	1204
Mollusca—Chordata	1045	—	1333	788	1511	1492	1183	1225
Agnatha—Gnathostomata	462	895	511	487	—*	638	—*	599

*Sequence unavailable.

Table 1. Rates of sequence divergence. Shown are calibration statistics for rates of sequence divergence for genes encoding seven different products (with independent calibrations for α and β hemoglobins).

Gene product	Aligned positions*	Calibration comparisons†	Regression‡			Bootstrap§		Mantel¶	
			Slope	r^2	95%	Average slope	95%	Correlation	P
ATPase 6	216	66	0.00139	0.66	0.00092 to 0.00189	0.00141	0.00107 to 0.00173	0.90	<0.0001
Cytochrome c	85	325	0.00026	0.60	0.00017 to 0.00035	0.00025	0.00013 to 0.00039	0.78	<0.0001
Cytochrome oxidase I	492	120	0.00019	0.69	0.00012 to 0.00026	0.00019	0.00015 to 0.00025	0.82	<0.0001
Cytochrome oxidase II	206	325	0.00067	0.75	0.00051 to 0.00083	0.00066	0.00051 to 0.00087	0.87	<0.0001
α Hemoglobin	101	1711	0.00207	0.69	0.00171 to 0.00243	0.00208	0.00174 to 0.00253	0.83	<0.0001
β Hemoglobin	96	1176	0.00201	0.70	0.00163 to 0.00240	0.00205	0.00158 to 0.00278	0.84	<0.0001
NADH 1	273	91	0.00048	0.69	0.00028 to 0.00069	0.00049	0.00037 to 0.00062	0.83	<0.0001
18S rRNA	1181	274	0.00015	0.71	0.00014 to 0.00018	ND	ND	0.74	<0.0039

*Number of unambiguously aligned positions (of nucleotides for 18S rRNA and of amino acids for all others), excluding all gaps resulting from alignments and missing data. †Number of sequence/divergence time points used for calibration and plotted in Fig. 1. For protein-coding genes, calibrations are based on chordates alone; for 18S rRNA, calibration is based on 8 chordates, 21 echinoderms, and 9 mollusk species (regression line fit through three independently derived sets of points). ‡Calculated with the use of model I regression (26), with 95% confidence limits on the slope, assuming degrees of freedom equal to the number of calibrating taxa. §Average slope from 200 bootstrap replicates with PHYLIP 3.5 (27). ¶Mantel test (26) for significance of a positive relation between genetic distance and divergence time, with matrix correlations and significance levels from 10,001 permutations. For 18S rRNA, values are for chordate species alone. ||Not done because calibration involved comparisons within three phyla.

Proterozoic divergence time estimates. This was not the case for any of the five genes tested (Table 4). Given the consistent interphylum divergence time estimates obtained from seven different genes that all seem to have relatively constant rates of sequence divergence, the only reasonable interpretation is that the metazoan phyla began to diverge long before the Cambrian.

A second line of empirical support comes from molecular phylogenies. Although there are substantial difficulties in resolving metazoan relationships with the use of molecular data (16), the fact that it is possible to recover even crude phylogenies from sequence data should raise suspicions about the possibility of divergences compressed into as little as 8 My. A Cambrian explosion would result in short internodes followed by long terminal nodes (branch length ratio ~1:65), well into the "Felsenstein zone" from which it is nearly impossible to reconstruct branch order (30). Yet phylogenetic analyses of molecular data sets consistently recover echinoderms and chordates as a clade, and typically unite the protostome phyla examined here (31). Variation in rates of sequence divergence, which are particularly evident over relatively short intervals of time, would compound the branch length ratio problem, making it even harder to recover topology.

The hypothesis of deep Precambrian divergences makes specific testable predictions. In particular, divergence time estimates based on other genes and taxa should be comparable to those presented here. In addition, new estimates of divergence times should not violate well-corroborated phylogenetic relationships. Few genes have been sequenced at this time in enough species or from a sufficiently broad phylogenetic range to allow estimation of interphylum divergence times. Several genes, however, would become useful with an additional 10 to 20 phylogenetically strategic sequences. This places tests of our hypothesis using other genes within the range of a modest project. The hypothesis of deep Precambrian divergences also makes predictions about the fossil record of metazoans. The stratigraphic ranges of chordates, echinoderms, arthropods, annelids, and mollusks (and, by im-

plication, many other phyla) should be considerably expanded (Fig. 2).

Implications. Deep Precambrian divergence times make interpretations of some Neoproterozoic body and trace fossils less problematic. These include interpretations of *Dickinsonia* and *Spriggina* as coelomate triploblasts (11, 12), *Arkarua* as an echinoderm (32), *Parvancorina* and *Diplichnites* as arthropods (10, 33), various carbonaceous compressions as annelids and pogonophorans (34), and certain microfossils as metazoan fecal pellets (35). Our results are also compatible with several indirect lines of evidence that point to Precambrian divergences among metazoan phyla (8, 36). For example, the earliest trilobite fossils fall into distinct biogeographic provinces (37) and have morphologies that on well-resolved cladograms place them as highly derived arthropods (38).

The existence of an extended but cryptic Precambrian history of metazoans also has some interesting implications for understanding the origin and diversification of animal body architecture. In particular, the rapid appearance of diverse skeletonized taxa in the fossil record during the middle Early Cambrian may reflect an exceptional period of simultaneous morphological innovation within distinct lineages rather than a rapid branching of phyla. It has long seemed likely, for example, that mineralized skeletons evolved independently in several phyla at this time (39). It is unlikely, however, that all "body plan" features evolved during the Cambrian. A cephalized, bilaterally symmetrical body composed of three germ layers predates the protostome-deuterostome split (28, 40, 41) and thus probably evolved much earlier than is generally recognized. Coeloms are shared by the two deuterostome phyla we examined and may predate the Cambrian by several hundred million years (the coeloms of protostomes may have an independent origin, and dating their appearance will require more information about the divergence times of the various protostome phyla).

The genetic regulatory apparatus that is so strikingly and extensively shared by protostomes and deuterostomes must also have evolved long before the Cambrian. This

includes the cluster of *Hox* genes that are responsible for regional specification along the anteroposterior axis (40), as well as many other genes responsible for patterning and specifying cell fates in various organ systems (41). The antiquity of these genetic regulatory circuits suggests that their appearance was not sufficient to trigger the morphological diversification that occurred during the Cambrian, as recently suggested (42), although their presence may have been a necessary precondition.

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Table 4. Relative rate test among eukaryotic kingdoms. For test scheme, see Fig. 3B; numbers are Kimura distances (18) from reference taxon.

Gene product	Yeast	Fungus	Protist	Meta- phyte	Chor- date	Insect	Echino- derm
ATPase 6	1.38	1.24	4.05	0.82	1.50	1.79	1.84
Cytochrome c	0.90	0.93	0.89	0.90	0.84	0.90	0.81
Cytochrome oxidase I	0.60	0.45	1.05	0.39	0.48	0.48	0.46
Cytochrome oxidase II	0.99	1.18	1.97	1.01	1.16	1.05	1.13
NADH 1	1.27	0.85	0.93	0.71	1.06	1.16	1.17

- those presented in Table 2, which would not alter our conclusions. Invertebrate-chordate sequence divergences were calculated by taking the mean of the sequence divergence between each invertebrate and all the chordates. Complete species lists, accession numbers, alignments, distance matrices, and divergence time matrices are available on request or from our World Wide Web page (<http://life.bio.sunysb.edu/ee/precambrian>).
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28 May 1996; accepted 27 August 1996

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The AAAS–Newcomb Cleveland Prize is awarded to the author of an outstanding paper published in *Science*. The value of the prize is \$5000; the winner also receives a bronze medal. The current competition period began with the 7 June 1996 issue and ends with the issue of 30 May 1997.

Reports, Research Articles, and Articles that include original research data, theories, or syntheses and that are fundamental contributions to basic knowledge or are technical achievements of far-reaching consequence are eligible for consideration for the prize. The paper must be a first-time publication of the author's own work. Reference to pertinent earlier work by the author may be included to give perspective.

Throughout the competition period, readers are

invited to nominate papers appearing in the Reports, Research Articles, or Articles sections. Nominations must be typed, and the following information provided: the title of the paper, issue in which it was published, author's name, and a brief statement of justification for nomination. Nominations should be submitted to the AAAS–Newcomb Cleveland Prize, AAAS, Room 1044, 1200 New York Avenue, NW, Washington, DC 20005, and **must be received on or before 30 June 1997**. Final selection will rest with a panel of distinguished scientists appointed by the editor-in-chief of *Science*.

The award will be presented at the 1998 AAAS annual meeting. In cases of multiple authorship, the prize will be divided equally between or among the authors.